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## SUBSTITUTE SENATE BILL 5447

State of Washington 55th Legislature 1998 Regular Session

By Senate Committee on Health & Long-Term Care (originally sponsored by Senators Deccio, Wojahn, Wood and Fairley)

Read first time 02/02/98.

- 1 AN ACT Relating to the disclosure of information obtained by the
- 2 department of health related to meeting licensing standards in
- 3 hospitals; amending RCW 70.41.150; and reenacting and amending RCW
- 4 42.17.310.
- 5 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF WASHINGTON:
- 6 **Sec. 1.** RCW 70.41.150 and 1985 c 213 s 24 are each amended to read 7 as follows:
- 8 (1) Information received by the department through filed reports,
- 9 inspection, or as otherwise authorized under this chapter, shall
- 10 ((not)) be disclosed publicly ((in such manner as to identify
- 11 individuals or hospitals, except in a proceeding involving the question
- 12 of licensure. Such records of the department shall at all times be
- 13 available to the council and the members thereof)), as set forth under
- 14 chapter 42.17 RCW, except:
- 15 (a) Licensing inspections, or complaint investigations, may be
- 16 disclosed no sooner that three business days after the hospital has
- 17 received the resulting assessment report; and

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- 1 (b) Information regarding administrative action against the license
- 2 may be disclosed after the hospital has received the documents
- 3 <u>initiating the administrative action</u>.
- 4 (2) The department may adopt rules necessary to implement this
- 5 section.

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- - (1) The following are exempt from public inspection and copying:
- 10 (a) Personal information in any files maintained for students in 11 public schools, patients or clients of public institutions or public 12 health agencies, or welfare recipients.
- 13 (b) Personal information in files maintained for employees, 14 appointees, or elected officials of any public agency to the extent 15 that disclosure would violate their right to privacy.
- (c) Information required of any taxpayer in connection with the assessment or collection of any tax if the disclosure of the information to other persons would (i) be prohibited to such persons by RCW 84.08.210, 82.32.330, 84.40.020, or 84.40.340 or (ii) violate the taxpayer's right to privacy or result in unfair competitive disadvantage to the taxpayer.
  - (d) Specific intelligence information and specific investigative records compiled by investigative, law enforcement, and penology agencies, and state agencies vested with the responsibility to discipline members of any profession, the nondisclosure of which is essential to effective law enforcement or for the protection of any person's right to privacy.
- (e) Information revealing the identity of persons who are witnesses 28 29 to or victims of crime or who file complaints with investigative, law 30 enforcement, or penology agencies, other than the public disclosure commission, if disclosure would endanger any person's life, physical 31 If at the time a complaint is filed the 32 safety, or property. 33 complainant, victim or witness indicates a desire for disclosure or 34 nondisclosure, such desire shall govern. However, all complaints filed with the public disclosure commission about any elected official or 35 36 candidate for public office must be made in writing and signed by the 37 complainant under oath.

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- 1 (f) Test questions, scoring keys, and other examination data used 2 to administer a license, employment, or academic examination.
- 3 (g) Except as provided by chapter 8.26 RCW, the contents of real 4 estate appraisals, made for or by any agency relative to the 5 acquisition or sale of property, until the project or prospective sale 6 is abandoned or until such time as all of the property has been 7 acquired or the property to which the sale appraisal relates is sold, 8 but in no event shall disclosure be denied for more than three years 9 after the appraisal.
- 10 (h) Valuable formulae, designs, drawings, and research data 11 obtained by any agency within five years of the request for disclosure 12 when disclosure would produce private gain and public loss.

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- (i) Preliminary drafts, notes, recommendations, and intra-agency memorandums in which opinions are expressed or policies formulated or recommended except that a specific record shall not be exempt when publicly cited by an agency in connection with any agency action.
- (j) Records which are relevant to a controversy to which an agency is a party but which records would not be available to another party under the rules of pretrial discovery for causes pending in the superior courts.
- (k) Records, maps, or other information identifying the location of archaeological sites in order to avoid the looting or depredation of such sites.
  - (1) Any library record, the primary purpose of which is to maintain control of library materials, or to gain access to information, which discloses or could be used to disclose the identity of a library user.
  - (m) Financial information supplied by or on behalf of a person, firm, or corporation for the purpose of qualifying to submit a bid or proposal for (i) a ferry system construction or repair contract as required by RCW 47.60.680 through 47.60.750 or (ii) highway construction or improvement as required by RCW 47.28.070.
- (n) Railroad company contracts filed prior to July 28, 1991, with the utilities and transportation commission under RCW 81.34.070, except that the summaries of the contracts are open to public inspection and copying as otherwise provided by this chapter.
  - (o) Financial and commercial information and records supplied by private persons pertaining to export services provided pursuant to chapter 43.163 RCW and chapter 53.31 RCW, and by persons pertaining to export projects pursuant to RCW 43.23.035.

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- 1 (p) Financial disclosures filed by private vocational schools under 2 chapters 28B.85 and 28C.10 RCW.
- 3 (q) Records filed with the utilities and transportation commission 4 or attorney general under RCW 80.04.095 that a court has determined are 5 confidential under RCW 80.04.095.
- 6 (r) Financial and commercial information and records supplied by
  7 businesses or individuals during application for loans or program
  8 services provided by chapters 43.163, 43.160, 43.330, and 43.168 RCW,
  9 or during application for economic development loans or program
  10 services provided by any local agency.
- (s) Membership lists or lists of members or owners of interests of units in timeshare projects, subdivisions, camping resorts, condominiums, land developments, or common-interest communities affiliated with such projects, regulated by the department of licensing, in the files or possession of the department.
- 16 (t) All applications for public employment, including the names of 17 applicants, resumes, and other related materials submitted with respect 18 to an applicant.
- (u) The residential addresses and residential telephone numbers of employees or volunteers of a public agency which are held by the agency in personnel records, employment or volunteer rosters, or mailing lists of employees or volunteers.
  - (v) The residential addresses and residential telephone numbers of the customers of a public utility contained in the records or lists held by the public utility of which they are customers, except that this information may be released to the division of child support or the agency or firm providing child support enforcement for another state under Title IV-D of the federal social security act, for the establishment, enforcement, or modification of a support order.
- 30 (w)(i) The federal social security number of individuals governed under chapter 18.130 RCW maintained in the files of the department of 31 health, except this exemption does not apply to requests made directly 32 to the department from federal, state, and local agencies of 33 34 government, national and state licensing, credentialing, and 35 investigatory, disciplinary, and examination organizations; (ii) the current residential address and current residential telephone number of 36 37 a health care provider governed under chapter 18.130 RCW maintained in the files of the department, if the provider requests that this 38 39 information be withheld from public inspection and copying,

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- 1 provides to the department an accurate alternate or business address
- 2 and business telephone number. On or after January 1, 1995, the
- 3 current residential address and residential telephone number of a
- 4 health care provider governed under RCW 18.130.140 maintained in the
- 5 files of the department shall automatically be withheld from public
- 6 inspection and copying unless the provider specifically requests the
- 7 information be released, and except as provided for under RCW
- 8 42.17.260(9).
- 9 (x) Information obtained by the board of pharmacy as provided in 10 RCW 69.45.090.
- 11 (y) Information obtained by the board of pharmacy or the department
- 12 of health and its representatives as provided in RCW 69.41.044,
- 13 69.41.280, and 18.64.420.
- 14 (z) Financial information, business plans, examination reports, and
- 15 any information produced or obtained in evaluating or examining a
- 16 business and industrial development corporation organized or seeking
- 17 certification under chapter 31.24 RCW.
- 18 (aa) Financial and commercial information supplied to the state
- 19 investment board by any person when the information relates to the
- 20 investment of public trust or retirement funds and when disclosure
- 21 would result in loss to such funds or in private loss to the providers
- 22 of this information.
- 23 (bb) Financial and valuable trade information under RCW 51.36.120.
- 24 (cc) Client records maintained by an agency that is a domestic
- 25 violence program as defined in RCW 70.123.020 or 70.123.075 or a rape
- 26 crisis center as defined in RCW 70.125.030.
- 27 (dd) Information that identifies a person who, while an agency
- 28 employee: (i) Seeks advice, under an informal process established by
- 29 the employing agency, in order to ascertain his or her rights in
- 30 connection with a possible unfair practice under chapter 49.60 RCW
- 31 against the person; and (ii) requests his or her identity or any
- 32 identifying information not be disclosed.
- 33 (ee) Investigative records compiled by an employing agency
- 34 conducting a current investigation of a possible unfair practice under
- 35 chapter 49.60 RCW or of a possible violation of other federal, state,
- 36 or local laws prohibiting discrimination in employment.
- 37 (ff) Business related information protected from public inspection
- 38 and copying under RCW 15.86.110.

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- 1 (gg) Financial, commercial, operations, and technical and research 2 information and data submitted to or obtained by the clean Washington 3 center in applications for, or delivery of, program services under 4 chapter 70.95H RCW.
- (hh) Information and documents created specifically for, and collected and maintained by a quality improvement committee pursuant to RCW 43.70.510, regardless of which agency is in possession of the information and documents.
- 9 (ii) Personal information in files maintained in a data base 10 created under RCW 43.07.360.
- (jj) Financial and commercial information requested by the public stadium authority from any person or organization that leases or uses the stadium and exhibition center as defined in RCW 36.102.010.
- (kk) Names of individuals residing in emergency or transitional housing that are furnished to the department of revenue or a county assessor in order to substantiate a claim for property tax exemption under RCW 84.36.043.
- 18 (11) The names, residential addresses, residential telephone 19 numbers, and other individually identifiable records held by an agency 20 in relation to a vanpool, carpool, or other ride-sharing program or 21 service. However, these records may be disclosed to other persons who 22 apply for ride-matching services and who need that information in order 23 to identify potential riders or drivers with whom to share rides.
- 24 (mm) Proprietary financial and commercial information that the 25 submitting entity, with review by the department of health, 26 specifically identifies at the time it is submitted and that is provided to or obtained by the department of health in connection with 27 an application for, or the supervision of, an antitrust exemption 28 sought by the submitting entity under RCW 43.72.310. If a request for 29 30 such information is received, the submitting entity must be notified of 31 the request. Within ten business days of receipt of the notice, the submitting entity shall provide a written statement of the continuing 32 need for confidentiality, which shall be provided to the requester. 33 Upon receipt of such notice, the department of health shall continue to 34 35 treat information designated under this section as exempt from disclosure. If the requester initiates an action to compel disclosure 36 37 under this chapter, the submitting entity must be joined as a party to demonstrate the continuing need for confidentiality. 38

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(nn) Records maintained by the board of industrial insurance appeals that are related to appeals of crime victims' compensation claims filed with the board under RCW 7.68.110.

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- (oo) Information and documents created specifically for, and collected and maintained by, a quality improvement committee acting on behalf of a public hospital district pursuant to RCW 70.41.200, regardless of whether the public hospital district or another state or local government agency is in possession of the information and documents.
- (2) Except for information described in subsection (1)(c)(i) of 10 this section and confidential income data exempted from public 11 inspection pursuant to RCW 84.40.020, the exemptions of this section 12 are inapplicable to the extent that information, the disclosure of 13 14 which would violate personal privacy or vital governmental interests, 15 can be deleted from the specific records sought. No exemption may be construed to permit the nondisclosure of statistical information not 16 descriptive of any readily identifiable person or persons. 17
  - (3) Inspection or copying of any specific records exempt under the provisions of this section may be permitted if the superior court in the county in which the record is maintained finds, after a hearing with notice thereof to every person in interest and the agency, that the exemption of such records is clearly unnecessary to protect any individual's right of privacy or any vital governmental function.
  - (4) Agency responses refusing, in whole or in part, inspection of any public record shall include a statement of the specific exemption authorizing the withholding of the record (or part) and a brief explanation of how the exemption applies to the record withheld.

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